

Amendments to Business Rules – Freezing of Securities in favour of a Clearing Member

- NSDL has amended its Business Rules whereby clients can give an instruction electronically to its Participant to freeze specific number of securities in its account till a pre-determined time in a manner as may be prescribed by the Depository such that it can be unfrozen or can be debited to credit the account of a Clearing Member pre-registered by the Client.
- Upon receipt of instructions from the Client, the Depository shall execute the request for freezing of specific number of securities in its account till the pre-determined time prescribed by the Depository.
- The Clearing Member pre-registered by the Client may at any time prior to the pre-determined time submit an instruction electronically in a manner prescribed by the Depository to debit and receive specific number of securities or less to the extent frozen by the Client in its account.

Take
a look...

Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics






Performance summary

Securities Admitted For Dematerialisation

Topic of Interest

Calendar of Events

Performance Summary

	Investor Accounts	12,965,772
	Participants	281
	Participant service centres	14,353
	Demat Custody	₹ 81,92,053 Cr.
	Settlement	₹ 95,677 Cr.
	Companies available for demat	11,549
	Instruments	
	◆ Debentures/Bonds	9,061
	◆ Securitised Instruments	936
	◆ Commercial Paper	1,290
	◆ Certificates of Deposit	1,360

Did you know?

Paper Money in India, in the modern sense, traces its origins to the late eighteenth century with the issues of private banks as well as semi-government banks (the Bank of Bengal, the Bank of Bombay and the Bank of Madras alluded to as the Presidency Banks). Among the earliest issues were those by the Bank of Hindostan (1770-1832), the General Bank in Bengal and Bahar (1773-75, established by Warren Hastings), the Bengal Bank (1784-91), amongst others.

Source : RBI website



Quote of the Month :

“How many millionaires do you know who have become wealthy by investing in savings accounts?
I rest my case.” - Robert G. Allen



- Upon receipt of instructions from the pre-registered Clearing Member prior to the pre-determined time, the Depository shall execute the request for debiting the specific number of securities or less to the extent frozen by the Client in its account and crediting the securities to the account of the pre-registered Clearing Member.
- In case no instruction is received from the pre-registered Clearing Member prior to the pre-determined time, the Depository shall unfreeze the specific number of securities frozen in the account of the Client.

(Ref: Circular No. NSDL/POLICY/2013/0128 dated November 1, 2013)

Operations from Disaster Recovery Site (DRS)

NSDL shifts its operations to its DRS at regular intervals to check its readiness and to demonstrate to the market about NSDL's capability to conduct the entire operations from the DRS. NSDL shifted its live operations to the backup site on October 16, 2013 after the EOD (End of the Day). The live operations continued at DRS till EOD of October 18, 2013 and thereafter the operations were reverted to the primary site.

(Ref: Circular No. NSDL/POLICY/2013/0119 dated October 15, 2013)

Facility for Clients to freeze securities in their demat accounts through *SPEED-e* facility

Clients have been provided with a facility to freeze securities in their demat accounts in favour of a Clearing Member through *SPEED-e* with respect to the trade orders routed on NOW (NEAT on Web) facility of National Stock Exchange of India Limited. Therefore, *SPEED-e* facility is being integrated with NOW facility. Accordingly, Participants of such Clients will have to make necessary changes in their back office system.

(Ref: Circular No. NSDL/POLICY/2013/0120 dated October 15, 2013)

Transfer of shares from one Non-Resident to another Non-Resident

RBI has vide its letter no. FE.CO.FID.No. 1286/10/21/311/2013-14 dated July 17, 2013 advised that the Form TS-1 for granting permission for sale of shares on stock exchanges by non-residents and for sale of shares by negotiated deals is no longer in vogue and does not require any specific approval of RBI.

(Ref: Circular No. NSDL/POLICY/2013/0124 dated October 30, 2013)

Closure of 'M.G. Capital Services Limited' Participant Operations

NSDL has informed all its Participants that M.G. Capital Services Limited (DP ID IN302404), a Participant of NSDL, has been advised to close down its depository operations w.e.f. November 13, 2013.

(Ref: Circular No. NSDL/PI/2013/2566 dated October 29, 2013)

One more Participant became operational in NSDL during October 2013

Consequent to transfer of Depository business from Reliance Capital Limited (DP ID IN303132) to Reliance Securities Limited, the name of Reliance Capital Limited is replaced with that of Reliance Securities Limited and has been made operational as Participant on October 22, 2013. Reliance Securities Limited will conduct its Depository operations from Mumbai.

The above addition takes the total number of operational Participants to 281 and the total number of Depository Participant Module (DPM) set-ups to 362.

Training Programmes for Participants:➤ **NCDO/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted four such training programmes in October 2013 at Lucknow, Mumbai, Noida and Pune which were attended by 93 officials of various Participants.

➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In October 2013, NSDL conducted four such training programmes at various locations viz., Ahmedabad, Mumbai and Surat which were attended by 76 officials of Participants.

➤ **SHR Training Programme for Issuers and Registrar & Transfer Agents (RTA)**

Training programme for Issuers and Registrar & Transfer Agents (RTA) i.e. SHR training programme focuses on day-to-day operations with respect to various operations modules and aspects of NSDL depository system viz., demat/remat, settlement, Beneficiary Positions, Corporate Action, Reconciliation, Record Maintenance etc. which also covers the various system modules viz., Connectivity, Installation procedure, Fault logging and System Security. In October 2013, NSDL conducted one such training programme in Mumbai which was attended by two officials.

Investor Education initiatives undertaken by NSDL

➤ **Investor Depository Meets (IDMs):** NSDL interacts with investors throughout the country through a series of 'Investor Depository Meets'. These programs are organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment. During October 2013, NSDL organised 11 IDMs at Agartala in Tripura and Guwahati in Assam, Gondal and Surendranagar in Gujarat, Udupi, Puttur and Mangalore in Karnataka, Visnagar in Gujarat, Trichur, Kottayam and Kollam in Kerala which were attended by 575 investors.

➤ **Stock Clinic Programme with Participant:** To spread awareness about Depository related services & the new features introduced in NSDL Depository system, NSDL conducted Stock Clinic Programme with Bank of India to educate employees and investors of Bank of India regarding benefits of dematerialization. In October 2013, NSDL conducted a training programme in Mumbai which was attended by around 35 persons.

➤ **Joint Awareness Programmes with Securities and Exchange Board of India (SEBI) & National Stock Exchange of India Limited (NSE):** In order to reach out to masses spread across the country and to apprise them about the facilities available in NSDL depository system, NSDL participated in Joint Awareness Programme with SEBI & NSE organized by NSE in October 2013 at National Institute of Technology, Rourkela in Odisha which was attended by around 220 students and faculties.

- **Training Programme organized by Institutions:** In October 2013, NSDL conducted three training programmes at Assam Institute of Management (AIM), Guwahati in Assam, Sankalchand Patel Institute of Management in Visnagar and for students from National Institute of Financial Management (NIFM), Faridabad for around 127 students. NSDL also organized three Investor Awareness Programmes with Vivek Vyaspeeth, an NGO in Pune and Baramati and CA Powai Study Circle in association with Committee on Financial Markets and Investor Protection of ICAI in Mumbai for 146 investors. Various aspects on Depository related services were addressed to students & investors attending these programmes.

List of Participant Service Centre:

- ❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

List of Companies that have changed Names (During October 2013)

Sr. No.	Old Name	New Name
1	Prime Textiles Limited	Prime Urban Development India Limited
2	Monarch Health Services Limited	Looks Health Services Limited
3	Anand Lease and Finance Limited	Ozone World Limited
4	Sesa Goa Limited	Sesa Sterlite Limited
5	Asianlak Capital and Finance Limited	Global Infratech & Finance Limited
6	Future Ventures India Limited	Future Consumer Enterprise Limited
7	Damodar Threads Limited	Damodar Industries Limited
8	United Phosphorus Limited	UPL Limited
9	Rama Newsprint and Papers Limited	Shree Rama Newsprint Limited
10	Suryakrupa Farms and Constructions Private Limited	Suryakrupa Constructions Private Limited
11	Fineworthy Software Solutions Private Limited	Fineworthy Software Solutions Limited
12	Piramal Life Sciences Limited	Piramal Phytocare Limited
13	Meghavaram Port Private Limited	ECEPL Jetty Private Limited
14	Oripro Limited	Green Field Agri Ventures Limited

Corporate Action Statistics

Sr. No.	Description	During October 2013		For F.Y. 2013 - 14 (Upto October 2013)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	138,638	5,048,187,506	4,813,408	61,248,731,728
2	Preference Shares	44	483,738,523	265,049	3,749,173,599
3	Bonds	56,086	172,706,340	134,730	956,167,369
4	Commercial Papers	568	1,582,416	3,511	7,891,381
5	Certificates of Deposit	202	2,090,570	3,281	33,800,524
6	Securitized Instruments	82	23,715,540	420	193,787,206
7	Mutual Fund Units	24,036	115,435,045	162,822	1,780,711,312
8	Warrants	0	0	13	27,340,000
9	Commodities	15	154	791	2,262,083
10	Mutual Fund Units (Trase)	12,249	26,934,099	79,381	518,019,502
	Total	231,920	5,874,390,194	5,463,406	68,517,884,704

Performance Summary

INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakh)
Oct-11	118.02
Oct-12	124.50
Oct-13	129.65

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Oct-11	298	13,752	1,500
Oct-12	283	14,361	1,558
Oct-13	281	14,353	1,544

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Oct-11	54,391	69,50,309
Oct-12	63,071	75,40,170
Oct-13	73,314	81,92,053

SETTLEMENT

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Oct-11	475	87,213
Oct-12	650	1,11,407
Oct-13	518	95,677

(Single Side)

EQUITY

Month - Year	No. of Companies Operational
Oct-11	9,300
Oct-12	10,310
Oct-13	11,549

Companies

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-11	592	7,189	9,14,129
Oct-12	671	8,439	11,52,991
Oct-13	820	9,061	13,76,506

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-11	588	893	38,659
Oct-12	507	756	34,237
Oct-13	629	936	61,328

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-11	243	1,817	1,73,441
Oct-12	244	1,629	2,02,927
Oct-13	204	1,290	1,56,496

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-11	46	1,308	4,08,510
Oct-12	44	1,192	3,73,040
Oct-13	42	1,360	3,66,488

Securities admitted for Dematerialisation during October 2013

Sr. No.	COMPANY	ISIN
1	A2Z Infraseservices Limited	INE584P01013
2	Abhijeet Ferrotech Limited	INE499P01014
3	Allium Finance Private Limited	INE506P01016
4	Alstom Transport India Limited	INE650P01012
5	Anmol Realtors Private Limited	INE616P01013
6	Ansaldocaldaie Boilers India Private Limited	INE593P01014
7	Arman Holdings Limited	INE510P01018
8	Artha Vrddhi Securities Limited	INE433P01013
9	Aryan Infrastructure Investments Private Limited	INE617P01011
10	Balashri Commercial Limited	INE678E01017
11	Bidar Solar Power Private Limited	INE518P01011
12	BP Ferrium Industries Private Limited	INE639P01015
13	Captain Polyplast Limited	INE536P01013
14	Chanakya International Pvt Ltd.	INE595P01019
15	Chandak Exports Private Limited	INE618P01019
16	Citrine Properties Private Limited	INE545P01014
17	Colosseum Properties Private Limited	INE544P01017
18	Concast Ambha Road Projects Pvt. Ltd.	INE570P01012
19	Concast Badnagar Road Projects Pvt. Ltd.	INE571P01010
20	Concast Path Bameetha Satna Road Projects Pvt. Ltd.	INE572P01018
21	Concast Sendhwa Road Projects Pvt. Ltd.	INE569P01014
22	CPEC Limited	INE029P01019
23	Cunni Realty and Developers Limited	INE598P01013
24	D B Infrastructures Private Limited	INE549P01016
25	Deligentia Energy and Infrastructures Private Limited	INE514P01010
26	Diwakar Solar Projects Limited	INE574P01014
27	Dolphin Offshore Shipping Limited	INE558P01017
28	Duet India Hotels (Bengaluru Cybercity) Private Limited	INE601P01015
29	Easyaccess Financial Services Limited	INE519P01019
30	Edel Commodities Limited	INE534P01018
31	Edel Commodities Trading Limited	INE566P01010
32	Edel Investments Limited	INE564P01015
33	Edelcap Securities Limited	INE503P01013
34	Edelweiss Capital Markets Limited	INE565P01012
35	Edelweiss Insurance Brokers Limited	INE504P01011
36	Edelweiss Trustee Services Limited	INE563P01017
37	EFSL Commodities Limited	INE561P01011
38	EFSL Comtrade Limited	INE562P01019
39	Empays Payment Systems India Private Limited	INE599P01011
40	Essel Viduyut Vitaran (Gwalior) Private Limited	INE541P01013
41	Futuretech Industries Limited	INE631P01012
42	Gactel Turnkey Projects Limited	INE603P01011
43	Ganga Exim Private Limited	INE641P01011
44	Global Forgings Limited	INE546P01012
45	Global Multiplex Limited	INE554P01016
46	Godrej Nandhi Hills Project Private Limited	INE633P01018
47	Godrej Projects Development Private Limited	INE634P01016
48	Godrej Sea View Properties Private Limited	INE638P01017
49	Gujarat International Finance Tec-City Company Limited	INE600P01017
50	Ikya Human Capital Solutions Limited	INE615P01015
51	India Infradebt Limited	INE537P01011
52	Jaipur Enclave Private Limited	INE583P01015
53	Janalakshmi Financial Services Private Limited	INE953L01019
54	Jasper Infotech Private Limited	INE580P01011
55	Junior Varsity Education Management Private Limited	INE533P01010
56	Jupiter Enterprises Limited	INE393E01013

Sr. No.	COMPANY	ISIN
57	KCIPI Trading Company Private Limited	INE644P01015
58	Kindle Engineering and Construction Private Limited	INE513P01012
59	Kiran Vyapar Limited	INE555P01013
60	Lanco Enterprise Private Limited	INE586P01018
61	Loop Mobile (India) Limited	INE055F01015
62	Mahavir Advanced Remedies Ltd.	INE716N01016
63	Mahindra Defence Naval Systems Private Limited	INE602P01013
64	Marugandha Land Developers Private Limited	INE581P01019
65	Mas Rural Housing and Mortgage Finance Limited	INE515P01017
66	MB Power Projects Limited	INE550P01014
67	Medica Synergie Private Limited	INE613P01010
68	Mep Hyderabad Bangalore Toll Road Pvt. Ltd.	INE559P01015
69	Metropolitan Infrahousing Private Limited	INE575M01018
70	Miyar Hydro Electric Power Company Limited	INE548P01018
71	Monarch Apparels (India) Limited	INE560P01013
72	Moser Baer Electric Power Limited	INE628P01018
73	Moser Baer Energy Systems Limited	INE629P01016
74	N.C. Trading Company Private Limited	INE582P01017
75	Namakkal Transport Carriers (P) Limited	INE517P01013
76	Nepuchapur Tea Company Limited	INE645P01012
77	Nikhita Estate Developers Private Limited	INE646P01010
78	Omicron Bio-Genesis Limited	INE630P01014
79	Panama Infrastructure Developers Private Limited	INE573P01016
80	Peridot Properties Private Limited	INE543P01019
81	Promart Retail India Private Limited	INE590P01010
82	R. K. Infratel Limited	INE372P01013
83	R. K. Manufacturing Company Ltd.	INE423E01018
84	Raima Toll Road Private Limited	INE542P01011
85	Ranga Raju Warehousing Private Limited	INE587P01016
86	Rideema Toll Bridge Private Limited	INE557P01019
87	Sahara Hospitality Limited	INE661H01016
88	Salvation Developers Limited	INE614P01018
89	Sarv Realtors Private Limited	INE647P01018
90	Seli Hydro Electric Power Company Limited	INE511P01016
91	Shamaru Construction Private Limited	INE539P01017
92	Shikhar Dairy Private Limited	INE520P01017
93	Shikhar Microfinance Private Limited	INE556P01011
94	Siddheshwar Construction Private Limited	INE552P01010
95	Sindhanur Gangavathi Tollway Private Limited	INE547P01010
96	Solitaire Industrial Infrastructure Pvt. Ltd.	INE512P01014
97	Star Health and Allied Insurance Company Limited	INE575P01011
98	Sterlite Grid Limited	INE391N01018
99	Synthes Medical Private Limited	INE588P01014
100	Tanaya Enterprises Private Limited	INE589P01012
101	Tarang Projects and Consultant Limited	INE538P01019
102	Tejaswini Engineering Private Limited	INE640P01013
103	Tejdeep Engineering Enterprises Private Limited	INE632P01010
104	Vaishnavi Ispat Limited	INE585P01010
105	Varsity Education Management Private Limited	INE568P01016
106	Vasathi Housing Limited	INE594P01012
107	VBHC Delhi Value Homes Private Limited	INE496P01010
108	VEMB Lifestyle Private Limited	INE592P01016
109	VEMB Retail India Private Limited	INE591P01018
110	Victoria Steel Enterprises Limited	INE643P01017
111	Vishaal Exports Private Limited	INE651P01010

TOPIC OF INTEREST**Know more about e-Voting system of NSDL (For Shareholders)***(Continued from October 2013 issue)*

Q.11 Once I cast my vote on e-Voting System, can I modify my vote before the closing of e-Voting cycle?

Ans. No. Vote once casted will be considered final and cannot be modified.

Q.12 Voting on selective resolutions is permitted in Postal Ballot. Is the same available in the e-Voting system as well?

Ans. Yes. Since a shareholder can decide to vote only on some of the resolutions put to vote, the same can also be done in e-Voting system as well.

Q.13 Can I reuse the password received for the first time by me for e-Voting?

Ans. No. The e-Voting system will force the user to change the password during the first login.

Q.14 How will the results be made available at the end of the voting period?

Ans. The Scrutinizer will collate the votes downloaded from e-Voting system and votes received through other means to declare the final results for the resolutions placed for voting by the Issuer.

Q.15 How will I know if e-Voting website is secured?

Ans. If you are visiting the website with a secure connection, you will be able to identify the website through the site's certificate. A secure or encrypted website address will begin with HTTPS rather than HTTP, and you will see a lock icon in the Address bar. Secure connections use certificates to identify the website and to encrypt your connection so that it will be more difficult for a hacker to view. You can also click the lock icon in the Address bar to see more information about the secured website.

Concluded.

NSDL Calendar of Events

COMPLIANCE REQUIREMENT

Sr.No.	Particulars	Reference	Due date	Mode of sending
1.	Investor Grievance Report (Monthly)	(i) Circular No. NSDL/PI/98/500 dated October 6, 1998. (ii) Circular No. NSDL/PI/2004/1688 dated September 16, 2004.	By 10th of the following month.	By email at dp_igreport@nsdl.co.in . Alternatively, by hard copy to the Investor Relationship Cell.
2.	Compliance Certificate (July - December)	Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006.	January 31st every year.	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in . Alternatively, by hard copy to Business Partner Inspection Department.

'KNOWLEDGE WINS' Contest

How will I know if e-Voting website is secured?

Send your replies providing your contact details (Name, address and contact number) with the subject 'Knowledge Wins Contest - November 2013' to info@nsdl.co.in

Terms and Conditions

- NSDL shall be solely responsible for the execution and administration of this Contest.
- This Contest is only open to Indian Citizens. (NSDL employees are not allowed to participate in this contest.)
- All personal details submitted must be accurate and complete and are subject to proof upon request by NSDL
- NSDL reserves the right, at any time, to verify the validity of entries and entrants and to disqualify any entry not submitted in accordance with these Terms or which tampers with the entry process
- NSDL reserved the right to discontinue the contest at any given point of time without prior information
- All prize drawings will made on a strictly random basis and the decision made by NSDL will be final

Lucky 25
Winners will
Win Free
Goodies

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell	NSDL Certification Programme
Officer-In-Charge, National Securities Depository Ltd. 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351 Email: relations@nsdl.co.in	Officer-In-Charge, National Securities Depository Ltd. 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351 Email: trainingdept@nsdl.co.in

For more information, email us at info@nsdl.co.in